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NAVIGATE COMPLIANCE — COMPLIANCE RE-IMAGINED

Altara — Digital Trust | AI Governance | Fair Consumer Outcomes

CIO — Chartered CIO Council — Chartered Chief Information Officer | C-CIO

COFI, OMNI-Risk & Consumer Protection Roadshow

From regulatory expectations to practical implementation

Date: 29 October 2026
Venue: To be confirmed
Registration: 08:00–08:30
Conference programme: 09:00–13:00
Networking lunch: 13:00–14:00
Expected attendance: 80–100 delegates
Status: Proposed programme — subject to speaker confirmation

Purpose of the event

Equip insurance brokers and financial services providers with a clearer understanding of their regulatory exposure and practical approaches to strengthening governance, protecting customers and preparing reliable regulatory information.

The programme connects regulatory developments, industry experience, and a demonstration of how technology and structured processes can support implementation.

Who should attend

Brokerage owners, principals, key individuals, broker managers, compliance officers, and leaders responsible for risk, operations, data and customer outcomes.

Event programme

Time	Session	Speaker / lead	Focus and intended delegate outcome
08:00–08:30	Registration and arrival refreshments	Event team	Check in, collect programme materials and meet fellow delegates.
08:30–09:00	Welcome networking and seating	Event team / programme director	Connect with peers and submit questions for the panel. Delegates seated before the opening.
09:00–09:30	**Opening address: Understanding your exposure and preparing for change**	**Sholane Sathu** — CEO; financial crime and GRC specialist	Introduce the organisation and purpose of the roadshow. Position COFI, OMNI-Risk and consumer protection; distinguish existing duties from proposed requirements; explain why governance and reporting readiness deserve management attention. **Outcome:** Understand the business case for action.
09:30–10:00	**Lessons from banking and insurance: Where regulatory reporting breaks down**	**Felicity Kirkman-Pillay**	Explore experience from banking and insurance, including fragmented information, reporting gaps, unclear ownership and operational challenges. **Outcome:** Recognise weaknesses that may also exist within a brokerage and identify lessons to apply.
10:00–10:30	**The regulatory perspective: Reporting, accountability and non-compliance**	**FSCA representative — subject to confirmation**	Discuss the importance of accurate reporting, applicable expectations and the consequences of non-compliance. Address implementation developments within the representative's remit. **Outcome:** Better understand regulatory priorities and the distinction between current obligations and future requirements.
10:30–10:45	Refreshment break	All delegates	Networking and informal discussion.
10:45–11:30	**From gaps to action: Practical implementation with Aura**	**Reshenn Dhewnarian**	Demonstrate how Aura and its supporting methodologies can help organise information, allocate responsibilities, track corrective actions, and support the preparation of reports. **Outcome:** See a practical approach to improving readiness and identify what implementation could involve within the delegate's business.

11:30–12:00	**Industry implementation in practice**	**Guest speaker — to be confirmed**	Explore how an industry organisation is introducing systems and processes to support compliance, including implementation challenges and lessons learned. **Outcome:** Understand the organisational work needed to turn a compliance strategy into day-to-day practice.
12:00–12:45	**Panel discussion and audience Q&A: Making compliance work in practice**	All confirmed speakers; moderator to be appointed	Connect regulatory expectations with practical delivery, customer protection and the realities facing smaller FSPs. **Outcome:** Gain perspectives on prioritisation, accountability and implementation, with an opportunity to raise questions.
12:45–13:00	**Closing: Your next steps towards readiness**	Programme director, with all speakers	Summarise the main lessons and outline a practical sequence for assessing gaps, assigning ownership and seeking appropriate support. **Outcome:** Leave with clear priorities for internal discussion.
13:00–14:00	Networking lunch and speaker engagement	All delegates and available speakers	Continue discussions, build professional connections and explore follow-up support.

Panel agenda — 12:00–12:45

Theme: How can FSPs make compliance manageable while protecting customers and producing reliable regulatory information?

Time	**Discussion topic**	**Guiding question**
12:00–12:05	Setting priorities	What should an FSP assess first when resources are limited?
12:05–12:12	Reporting and accountability	What makes regulatory information reliable, and who should own its preparation and approval?
12:12–12:19	Customer protection and financial crime	How can everyday controls reduce customer harm and address applicable financial-crime risks?
12:19–12:27	Practical implementation	Where can technology reduce effort, and where does it still require professional judgement and management oversight?
12:27–12:42	Audience questions	Questions from the floor and those submitted during the morning.
12:42–12:45	Final recommendations	One priority action from each panellist.

Intended event outcomes

By the end of the programme, delegates should be better equipped to:

- Distinguish current compliance duties from proposed regulatory developments.
- Identify gaps in governance, reporting processes and supporting information.
- Prioritise actions according to regulatory exposure and potential customer harm.
- Understand how methodologies, technology and professional support can assist implementation.
- Establish clearer ownership of follow-up actions within their organisations.

Programme notes for organisers

- Confirm speaker names, titles, biographies and presentation scopes before publication. Board affiliations should be included only once their exact wording is confirmed.
- Keep the industry guest's name and organisation unassigned until participation is agreed.
- Confirm the FSCA representative's attendance and approved topic. Regulatory participation must not imply endorsement of Aura or any commercial service.
- Appoint a moderator and timekeeper. Presentation slots include introductions and handovers; extended audience questions are reserved for the panel.
- Keep the Aura session clearly identified as a product demonstration, with capabilities shown accurately.
- Finalise venue, catering, registration arrangements and any attendance fee before issuing the final programme.